

FORM PTO-1449



## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

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Attorney Docket Number 39293/JEC/X2

Application Number 09/592,079 # 4

Filing Date June 12, 2000

Applicant(s) Shanker V. Iyer, et al.

Group Art Unit 2756

Examiner Name to be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JW	PCT Search Report for PCT corresponding application, Application No. PCT/US00/16246, including two cited articles
JW	Pohlmann, N., "Sichere It-Loesungen," Net - Zeitschrift Fuer Kommunikationsmanagement, Huthig Verlag, Heilderberg, DE, Vol. 51, No. 8/09, 1997, pp. 34-37, XP000720702
JW	Sun, N., "Internal Firewalls Can Protect Subnetworks form Unauthorized Access," Computer Technology Review, Westworld Production Co.: Los Angeles, Vol. 17, No. 6, June 1, 1997, pp 14,16, 18, XP000740492

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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

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DEC 02 2002

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39293/JEC/X2/134892

Application Number

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Filing Date

June 12, 2000

Applicant(s)

Shanker V. Iyer et al.

Group Art Unit

~~2758~~ 2155

Examiner Name

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JW		Common Information Model (CIM) Specification; Specification; Version 2.2; Distributed Management Task Force, Inc.; June 14, 1999; pp-1-97
JW		Directory-enabled Networks, Information Model and Base Schema; Version 3.0c5; pp. 1-113
JW		DMTF LDAP Schema for the CIM v2.4 Core Information Model v1.0, May 6, 2002; DMTF Specification, DSP0117; Distributed Management Task Force, Inc. (DMTF) 2000; pp. 1-55
JW		Dynamic Host Configuration Protocol (DHCP) Service; Version 0.0-1; February 18, 1998; 7 pp.
JW		Network Services - Internet Protocol Security; Version 0.0-2; February 17, 1998; 7 pp.
JW		Signaled Quality of Service; Version 0.0-14; January 12, 1998; 6 pp.
JW		Simple Network Management Protocol; posted February 20, 2002; pp. 1-10
JW		BISWAS, Debasish; Application Class of Service Schemata; Berkeley Networks Inc.; February 19, 1998; pp. 1-7

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JW		CASE, J. et al.; <i>Introduction to Version 3 of the Internet-standard Network Management Framework</i> ; Network Working Group, Request for Comments: 2570; April 1999; 20 pp.
JW		CASE, J. et al.; <i>A Simple Network Management Protocol (SNMP)</i> ; Network Working Group, Request for Comments: 1157; May 1990; 32 pp.
JW		CHAUDHURY, R. et al.; <i>Directory Schema for Service Level Administration of Differentiated Services and Integrated Services in Networks</i> ; pp. 1-17
JW		MOORE, B. et al.; <i>Information Model for Describing Network Device QoS Datapath Mechanisms</i> ; Policy Framework Working Group; Internet-Draft; Category: Standards Track; February, 2002; pp 1-90
JW		MOORE, B. et al.; <i>Policy Core Information Model -- Version 1 Specification</i> ; Network Working Group; Request for Comments: 3060; February 2001; pp. 1-100
JW		RAJAN, R. et al.; <i>A Simple Framework and Architecture for Networking Policy draft- rajan-policy-framework-00.txt</i> ; Internet Engineering Task Force; Internet Draft; May 23, 1999; 27 pp.
JW		RAJAN, R.; <i>Networking Policy Condition Information Model</i> ; Internet Engineering Task Force; Internet Draft; April 5, 1999; pp. 1-17
JW		RAJAN, R.; <i>Policy Action Classes for Differentiated Services and Integrated Services</i> ; Internet Engineering Task Force; April 5, 1999; pp. 1-23
JW		SNIR, Y. et al.; <i>Policy QoS Information Model</i> ; Policy Framework Working Group; November 2001; pp. 1-69

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